

Primary Care Commissioning Committee							
Date of Meeting	10/09/2019	Paper Number	9				
Title	Financial Report for month 4						
Sponsoring Director (name and job title)	Debbie Fraser, Deputy Director of Finance						
Author(s)	Olayinka Ahmed, Senior Finance Manager, (NHS East Berkshire CCG) Richard Buckley, Head of Financial Control and CSU Support						
Purpose	To update the committee on the financial position for month 4 of 2019/20 for the primary care commissioning budgets of NHS East Berkshire CCG.						
The Primary Care Commissioning Committee is required to (please tick)							
Approve	<input type="checkbox"/>	Receive	<input type="checkbox"/>	Discuss	<input type="checkbox"/>	Note	X
Risk and Assurance <i>(outline the key risks / where to find mitigation plan in the attached paper and any assurances obtained)</i>				Financial Plans have been prepared in accordance with NHS England planning guidance and assured by the South of England Regional Office			
Legal implications/regulatory requirements				None			
Public Sector Equality Duty				Not Applicable			
Links to the NHS Constitution (relevant patient/staff rights)				None			
Strategic Fit				Not Applicable			
Commercial and Financial Implications <i>(Identify how the proposal impacts on existing contract arrangements and have these been incorporated?)</i> <i>Include date Deputy CFO has signed off the affordability and has this been incorporated within the financial plan. Include details of funding source(s)</i>				As outlined in the report			

<p>Quality Focus <i>(Identify how this proposal impacts on the quality of services received by patients and/or the achievement of key performance targets</i></p> <p><i>Include date the Director of Nursing has signed off the quality implications)</i></p>	<p>Not Applicable</p>
<p>Clinical Engagement <i>Outline the clinical engagement that has been undertaken</i></p>	<p>Not Applicable</p>
<p>Consultation, public engagement & partnership working implications/impact</p>	<p>Not Applicable</p>
<p>NHS Outcomes <i>Please indicate (highlight) which Domain this paper sits within by highlighting or ticking below: Please note there may be more than one Domain.</i></p>	<p>Domain 1 Preventing people from dying prematurely;</p> <p>Domain 2 Enhancing quality of life for people with long-term conditions;</p> <p>Domain 3 Helping people to recover from episodes of ill health or following injury;</p> <p>Domain 4 Ensuring that people have a positive experience of care; and</p> <p>Domain 5 Treating and caring for people in a safe environment; and protecting them from avoidable harm.</p>
<p>Executive Summary This report sets out the details up to the end of month 4 (July 2019) for the Primary Care Services Commissioning budgets.</p>	
<p><u>Recommendation(s)</u></p> <p>The Primary Care Commissioning Committee is asked to note the contents of the report.</p>	

Primary Care Commissioning Committee



Report to the Primary Care Commissioning Committee – Berkshire East CCGs

Prepared by: Olayinka Ahmed, NHS East Berkshire CCG, Senior Finance Manager and Richard Buckley, Berkshire East CCGs, Head of Financial Control and CSU support.

Classification: OFFICIAL

The National Health Service Commissioning Board was established on 1 October 2012 as an executive non-departmental public body. Since 1st April 2013, the National Health Service Commissioning Board has used the name NHS England for operational purposes.

1. Introduction

- 1.1. This paper sets out financial position for month 4 of 2019/20 for the primary care services delegated commissioning budget of East Berkshire CCG.

<i>All figures in £'000s</i>	Month			Year to Date			Forecast		
	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Actual	Variance
GP Services 2019-20									
GP Contract Payment	3,427	3,419	8	13,709	13,678	31	41,127	41,127	-
QOF Payments	411	423	(12)	1,645	1,657	(12)	4,935	4,935	-
GP Seniority and Locums	99	70	29	394	414	(20)	1,183	1,183	-
GP Drug Payments	25	10	15	100	69	31	301	301	-
GP Premises	412	419	(7)	1,650	1,657	(7)	4,950	4,950	-
GP Enhanced Services	265	240	25	1,060	1,063	(3)	3,180	3,180	-
GP Other Items	5	5	-	20	20	-	60	60	-
Prior Year Balance	-	-	-	-	-	-	-	-	-
CCG Prescribing	-	-	-	-	(1)	1	-	-	-
Collaborative Fees	9	9	-	35	35	-	104	104	-
GP Premises other	9	9	-	37	37	-	110	110	-
GP General Reserves	-	-	-	-	-	-	2,301	2,301	-
Sub-Total	4,662	4,604	58	18,650	18,629	21	58,251	58,251	-
Other Primary Care Budgets									
Locally Commissioned Schemes	229	229	-	917	917	-	2,753	2,753	-
GPIT	178	177	1	582	514	68	2,074	2,006	68
Out of Hours	406	392	14	1,625	1,566	59	4,875	4,875	-
GP Extended Access	220	221	(1)	880	880	-	2,639	2,639	-
General Practice Resilience Funding	-	-	-	-	-	-	-	-	-
Primary Care Investments	(14)	(13)	(1)	(55)	(54)	(1)	(165)	(165)	-
Sub-Total	1,019	1,006	13	3,949	3,823	126	12,176	12,108	68
Grand Total	5,681	5,610	71	22,599	22,452	147	70,427	70,359	68

2. Commentary on the position

An overview of the month and 19/20 outturn position is below:

2.1 Month position

The month position at month is £71k favourable variance.

2.2 Year to Date Position

Overall the YTD position is a favourable variance of £147k with main variances explained below:

• GP Contract Payment	£31k underspend	Global sum below plan due to demographic growth provision currently being below planning assumption.
• QOF	£12k overspend	Aspirational payments higher than plan.
• GP Seniority and Locums (Inc. CQC Fees)	£20k overspend	Seniority payments are currently above plan by £30k but this is not expected to continue, this is offset by a £10k underspend on CQC reimbursement.
• GP Drug Payments	£31k underspend	Seasonal variation.
• GP Premises	£7k overspend	Overspend on premises rates.
• GP Enhanced Services	£3k overspend	Overspend on Extended Hours Access £4k offset by £1k underspend SAS service.
• GPIT	£68k underspend	Release of prior year accruals.
• Out of Hours	£59k underspend	Underspend is being investigated as would expect expenditure to be in line with budget.

2.3 Forecast Outturn

The forecast outturn at month 3 is a favourable variance of £68k. This is due to the release of GP IT accruals.

3 Assumptions on reporting

The figures have been prepared in accordance with the following national guidance:

- Accruals will be as per accounting standards and will be to the expected year end outturn position.

4 Contracting and procurement activity

None

5 Reserves

The following provides a narrative update and forward plan for the proposals against available investments; objective is to deliver business cases before Qtr 3 2019/20 to have clarity:

Recurring Investments

Lower Limb Ulcers: Existing Bracknell and Ascot CCG baseline funding of £108,000, leaving a net investment requirement of £239,000 plus an additional £19,000 required for the enhanced preventative service. **Business Case expected – Sept 2019**

Primary Care Premises Development revenue programme: from the ETTF, ICS Wave 2 and private investment schemes five year forward plan to be built with what is known. ETTF and ICS figures will be set at FBC approval which will be after September.

Non Recurring Investments: Committed from previous year

Additional Support in Primary Care: two year employment contracts (2 x band 7) for team capacity enabling additional time to support the implementation of the NHS policy around Primary Care Networks. Work with PCN Clinical Directors has commenced to identify areas of support and offer 'in kind'

Non Recurring Investments: Awaiting business case/proposals

Seasonal (Winter) Pressures additional capacity: member workshops scheduled in July to review and look forward to urgent access in general practice. **Business Case – Sept 2019**

Primary Care Infrastructure and Transformation: facilitation for practices implementing transformation plans aligned to premises developments.

Business Case – phased with estates modelling

Network Transition: working with Clinical Directors of PCNs in relation to access to these funds. **Business Case – recommended by PCOG in August 2019**

Vulnerable Services Support: accessible through Part 2 of PCOG, to be committed as required or released.

6. Transition of Delegated Function: Primary Medical Services Finance

The final element for transition from NHS England to NHS East Berkshire CCG will take place in October 2019, this will result in the full finance function will be within the CCG. (Note: Transition Plan including – page 10 of the report)

A breakdown of the CCG Primary Care reserves and its deployment plan is as stated below:

	19/20 £ 000	20/21 £ 000	21/22 £ 000	22/23 £ 000	23/24 £ 000
Opening Balance	2,009	2,009	2,009	2,009	2,009
0.5% Contingency	291	291	291	291	291
Total Reserves	2,300	2,300	2,300	2,300	2,300
Investments					
Committed					
LCS - Anticipatory Care Planning	1,455				
LCS - AF/Hypertension	161	161	161	161	161
Lower Limb/Wound Care Current Pilot	165	165	165	165	165
TOTAL Recurring Current Year Committed	1,781	326	326	326	326
Investments - Business Cases Required					
Lower Limb/Wound Care Service	165	165	165	165	165
NHSP Lease for SWIC	165	165	165	165	165
TOTAL Recurring Investments - subject to approval	330	330	330	330	330
PC Premises - forward plan - focus for Sept PCOG		152	442	572	572
Total Investments	2,111	808	1,098	1,228	1,228
Reserves Remaining	189	1,492	1,202	1,072	1,072
Non Recurrent Funding 19/20					
Additional Support Primary Care	93				
Winter Pressure Support	400				
PC Infrastructure & Transformation	210				
PCN 100 Days	100				
Network Transformation	400				
Vulnerable Services	300				
Total Non Recurrent	1,503				
Funding form GPIT - TBC					
Digital First Delivery	150				
GP System transition	150				

Comments
2019/20 - full year planned. Funding for Scheme going forward to be determined, awaiting national specification.
2019/20 - full year planned
2019/20 - full year planned
2019/20 - full year planned
Include ETTF, ICS PC Capital and Private schemes - Chalvey / Village
Committed
Allocated - business case requested
Allocated - May require more funding subject to proposal
Proposal - release to support establishment of PCNs
Allocated - accessed via Part 2 PCOG

Delegated Finance Transition Plan – 2019/20

	Action	What	By when	By Whom (NHSE Team)	Process Note Available	Handover Completed Y/N
1	PMS/GMS Contract payment Schedules	Monthly Payments List/Price changes	01/10/2019	S Reynolds	Y	
2	PMS/GMS Contract payment proforma templates	Monthly Payments	01/10/2019	A Overton	Y	
3	PCSE Contract Payment templates	Monthly Payments	01/10/2019	A Overton		
4	CQC reimbursements	Monthly Payments	Completed			Y
5	GP Retainer Scheme	Quarterly Payments	31/10/2019	A Overton		
6	Minor Surgery Des	Quarterly Payments	31/10/2019	A Overton		
7	Extended Hours Des Payments	Quarterly Payments	Completed	A Overton		Y
8	First Practice Management (FPM)	Annual Payments	01/10/2019	A Overton		
9	Manual MPIG payments	Monthly Payments	01/10/2019	A Overton		
10	PMS Premium Payments	Monthly Payments	01/10/2019	C Hobbs		
11	GP Dispensing Fees Month End accruals	Month End Closedown	01/10/2019	S Reynolds		
12	Practice Premises Reimbursements	Monthly Payments	01/10/2019	S Reynolds	Y	
13	Direct Premises Payments	Invoice check and approve	01/10/2019	A Overton		
14	CQRS approval	Enhanced Services Approval	31/10/2019	A Overton		
		QOF Achievement	31/10/2019	A Overton		
15	Practice payment queries	Investigate and respond	Completed			Y
16	GP Pension payover	Monthly Reconciliation and Payment	Completed	A Overton		Y
17	GP Pension Compliance	Annual Declaration	Completed	A Overton		Y
18	GP Levy payover	Monthly Reconciliation and Payment	Completed	A Overton		Y
19	GP Locum Reimbursement Claims	Process and pay	01/10/2019	L Howes		
20	Collaborative Fee Claims	Process and pay	31/10/2019	A Overton		
21	Month End Closedown	Review variances to plan and prepare Accrual Journals and supporting working papers	Completed			Y
22	Forecast Outturn	Monthly review	Completed			Y
23	Month End Reporting	Reports for delegated budget	Completed			Y
24	Financial Planning	Operational Plans	05/09/2019	C Hobbs		
25	Budget Setting	Practice level budgets on ISFE	Completed			Y
26	Supplier changes	GP Practice	NHSE Retain	C Hobbs		
27	FOI Requests	Collate response	Completed			Y
28	Section 96 Support	Due Dilligence	31/10/2019	C Hobbs		