

Primary Care Commissioning Committee							
Date of Meeting	14/01/2019	Paper Number	8				
Title	Financial Report for month 8						
Sponsoring Director (name and job title)	Debbie Fraser, Deputy Director of Finance						
Author(s)	Balwinder Chana Senior Finance Accountant, (NHS East Berkshire CCG) Richard Buckley, Head of Financial Control and CSU Support						
Purpose	To update the committee on the financial position for month 8 of 2019/20 for the primary care commissioning budgets of NHS East Berkshire CCG.						
The Primary Care Commissioning Committee is required to (please tick)							
Approve	<input type="checkbox"/>	Receive	<input type="checkbox"/>	Discuss	<input type="checkbox"/>	Note	X
Risk and Assurance <i>(outline the key risks / where to find mitigation plan in the attached paper and any assurances obtained)</i>				Financial Plans have been prepared in accordance with NHS England planning guidance and assured by the South of England Regional Office			
Legal implications/regulatory requirements				None			
Public Sector Equality Duty				Not Applicable			
Links to the NHS Constitution (relevant patient/staff rights)				None			
Strategic Fit				Not Applicable			
Commercial and Financial Implications <i>(Identify how the proposal impacts on existing contract arrangements and have these been incorporated?)</i> <i>Include date Deputy CFO has signed off the affordability and has this been incorporated within the financial plan. Include details of funding source(s)</i>				As outlined in the report			
Quality Focus <i>(Identify how this proposal impacts on the quality of services received by patients and/or the achievement of key performance targets)</i>				Not Applicable			

<p><i>Include date the Director of Nursing has signed off the quality implications)</i></p>	
<p>Clinical Engagement <i>Outline the clinical engagement that has been undertaken</i></p>	<p>Not Applicable</p>
<p>Consultation, public engagement & partnership working implications/impact</p>	<p>Not Applicable</p>
<p>NHS Outcomes <i>Please indicate (highlight) which Domain this paper sits within by highlighting or ticking below: Please note there may be more than one Domain.</i></p>	<p>Domain 1 Preventing people from dying prematurely;</p> <p>Domain 2 Enhancing quality of life for people with long-term conditions;</p> <p>Domain 3 Helping people to recover from episodes of ill health or following injury;</p> <p>Domain 4 Ensuring that people have a positive experience of care; and</p> <p>Domain 5 Treating and caring for people in a safe environment; and protecting them from avoidable harm.</p>
<p>Executive Summary This report sets out the details up to the end of month 8 (November 2019) for the Primary Care Services Commissioning budgets.</p>	
<p><u>Recommendation(s)</u></p> <p>The Primary Care Commissioning Operational Group is asked to agree this report</p>	

Primary Care Commissioning Committee



Report to the Primary Care Commissioning Committee – Berkshire East CCGs

Prepared by: Balwinder Chana, NHS East Berkshire CCG, Senior Finance Accountant and Richard Buckley, Berkshire East CCGs, Head of Financial Control and CSU support.

Classification: OFFICIAL

The National Health Service Commissioning Board was established on 1 October 2012 as an executive non-departmental public body. Since 1st April 2013, the National Health Service Commissioning Board has used the name NHS England for operational purposes.

1. Introduction

1.1. This paper sets out financial position for month 8 of 2019/20 for the primary care services delegated commissioning budget of East Berkshire CCG.

All figures in £'000s	Month				Year to Date				Forecast			
	Plan	Actual	Variance	% Variance *	Plan	Actual	Variance	% Variance*	Plan	Actual	Variance	% Variance*
GP Services 2019-20												
GP Contract Payment	3,427	3,462	(35)	-1.0%	27,418	27,425	(7)	0.0%	41,127	41,127	-	0.0%
QOF Payments	411	414	(3)	-0.7%	3,290	3,314	(24)	-0.7%	4,935	4,935	-	0.0%
GP Seniority and Locums	99	(81)	180	181.8%	789	651	138	17.5%	1,183	1,183	-	0.0%
GP Drug Payments	25	42	(17)	-68.0%	201	147	54	26.9%	301	301	-	0.0%
GP Premises	412	313	99	24.0%	3,299	3,156	143	4.3%	4,950	4,950	-	0.0%
GP Enhanced Services	402	486	(84)	-20.9%	3,213	3,202	11	0.3%	4,821	4,821	-	0.0%
GP Other Items	5	6	(1)	-20.0%	40	40	-	0.0%	60	60	-	0.0%
Prior Year Balance	-	-	-		-	-	-		-	-	-	
CCG Prescribing	-	-	-		-	(1)	1		-	-	-	
Collaborative Fees	9	9	-	0.0%	69	69	-	0.0%	104	104	-	0.0%
GP Premises other	9	9	-	0.0%	73	73	-	0.0%	110	110	-	0.0%
GP General Reserves	-	-	-		-	-	-		659	659	-	
Sub-Total	4,799	4,660	139	2.9%	38,392	38,076	316	0.8%	58,250	58,250	-	0.0%
Other Primary Care Budgets												
Locally Commissioned Schemes	229	197	32	14.0%	1,835	1,769	66	3.6%	2,753	2,753	-	0.0%
GPIT	148	148	-	0.0%	1,182	1,114	68	5.8%	2,074	2,006	68	3.3%
Out of Hours	401	419	(18)	-4.5%	3,204	3,142	62	1.9%	4,806	4,745	61	1.3%
GP Extended Access	220	220	-	0.0%	1,759	1,759	-	0.0%	2,639	2,639	-	0.0%
Primary Care Investments	(14)	(17)	3	-21.4%	(110)	(112)	2	-1.8%	(165)	(165)	-	0.0%
Online Consultation	-	(15)	15		-	15	(15)		-	-	-	
Sub-Total	984	952	32	3.3%	7,870	7,687	183	2.3%	12,107	11,978	129	1.1%
Grand Total	5,783	5,612	171	2.96%	46,262	45,763	499	1.1%	70,357	70,228	129	0.2%

* The variance is to plan

2. Commentary on the position

An overview of the month and 19/20 outturn position is below

2.1 Month position

The month position in month 8 is £171k favourable variance.

2.2 Year to Date Position

Overall the YTD position is a favourable variance of £499k with main variances explained below:

- | | | |
|---------------------------|------------------|--|
| • GP Contract Payment | £7k overspend | PMS Contracts are underspent by £62k while GMS and APMS contracts are overspent by £52k and £17k respectively. |
| • QOF | £24k overspend | Overspend due to 2018/19 achievement being higher than anticipated when planning. |
| • GP Seniority and Locums | £138k underspend | Current underspends on Locum Mat/Pat & sickness and CQC reimbursements of £168K and £23k while Seniority payments are currently above plan by £53k. |
| • GP Drug Payments | £54k underspend | Seasonal variation. |
| • GP Premises | £143k underspend | Premises rents are underspent by £100k due to assumption made in planning for new premises which have not yet been brought into use. Premises rates are underspent by £47k and Water rates are overspent by £4k. |
| • GP Enhanced Services | £11k underspend | Minor Surgery, Learning Disability Health Check, Extended hours are underspent by £17k, £29k and £12k respectively this is offset by an overspend of £49k on the various elements of the PCN workforce reimbursements. |
| • GPIT | £68k underspend | Release of prior year accruals. |
| • Out of Hours | £62k underspend | Investigating whether budget adjustment was in line with the new contract value. |

Forecast Outturn

The forecast outturn at month 8 is a favourable variance of £129k. This is due to the release of GP IT accruals, £68k and a forecast underspend of £61k on Out of Hours.

3 Assumptions on reporting

The figures have been prepared in accordance with the following national guidance:

- Accruals will be as per accounting standards and will be to the expected year end outturn position.

4 Contracting and procurement activity

- Slough Walk in Centre APMS contract, 12 month extension to the contract to September 2020, in line with the contract the registered population will be updated on a quarterly basis, this will be back dated to April 2019. Procurement arrangement for the registered list element of the APMS contract has commenced.

5 Reserves

The following provides a narrative update and forward plan for the proposals against available investments; objective is to deliver business cases before Qtr 3 2019/20 to have clarity:

Recurring Investments

Lower Limb Ulcers: Existing Bracknell and Ascot CCG baseline funding of £108,000, leaving a net investment requirement of £239,000 plus an additional £19,000 required for the enhanced preventative service. **Approved at October PCOG/ November PCCC**

Primary Care Premises Development revenue programme: from the ETTF, ICS Wave 2 and private investment schemes five year forward plan to be built with what is known. ETTF and ICS figures will be set at FBC approval which will be March 2020.

Non Recurring Investments

Committed from previous year

Additional Support in Primary Care: two year employment contracts (2 x band 7) for team capacity enabling additional time to support the implementation of the NHS policy around Primary Care Networks. Work with PCN Clinical Directors has commenced to identify areas of support and offer 'in kind'

Approved 2019/20

Network Transition: working with Clinical Directors of PCNs in relation to access to these funds for first 100 days of PCN establishment. **Approved July PCOG/PCCC**

Seasonal (Winter) Pressures additional capacity: member workshops scheduled in July to review and look forward to urgent access in general practice. **Approved September PCOG**

Awaiting business case/proposals

Primary Care Infrastructure and Transformation: facilitation for practices implementing transformation plans aligned to premises developments. **Business Case – PC Infrastructure Plan pipeline – PCOG Paper agreed in December 2019**

Request to support a bridging arrangement for the CCG to cover additional costs for the Ascot (Ben Lynwood) development, these will be reimbursed by NHSE following the FBC outcome.

Vulnerable Services Support: accessible through Part 2 of PCOG, to be committed as required or released through recommendation from the Practice Resilience Group. **Business Case – drawn down as required via PCOG approval**

A breakdown of the CCG Primary Care reserves and its deployment plan is as stated below:

	19/20 £ 000	20/21 £ 000	21/22 £ 000	22/23 £ 000	23/24 £ 000	Comments
Opening Reserves Balance	2,009	2,009	2,009	2,009	2,009	
0.5% Contingency	291	291	291	291	291	
Total Reserves	2,300	2,300	2,300	2,300	2,300	
Investments						
Committed						
LCS - Anticipatory Care Planning	1,455					2019/20 - full year planned. Funding for Scheme going forward to be determined, awaiting national specification.
LCS - AF/Hypertension	186	186	186	186	186	2019/20 - full year planned
Lower Limb/Wound Care Current Pilot	362	362	362	362	362	2019/20 - full year planned
TOTAL Recurring Current Year Committed	2,003	548	548	548	548	
Investments - Business Cases Required						
NHSP Lease for SWIC	196	196	196	196	196	
TOTAL Recurring Investments - subject to approval	196	196	196	196	196	
PC Premises - forward plan - focus for Sept PCOG		152	442	572	572	Include ETTF, ICS PC Capital and Private schemes - Chalvey / Village
Total Investments	2,199	896	1,186	1,316	1,316	
Reserves Remaining	-190	1,113	823	693	693	
Contingency	291	291	291	291	291	
Total Reserves Remaining	101	1,404	1,114	984	984	
Non Recurrent Funding 19/20						
Additional Support Primary Care	93					Committed
Winter Pressure Support	400					Committed - Approved September PCOG
PC Infrastructure & Transformation	210					Allocated - May require more funding subject to proposal
PCN 100 Days	100					
Network Transformation	400					Proposal - release to support establishment of PCNs
Vulnerable Services	300					Allocated - accessed via Part 2 PCOG
Total Non Recurrent	1,503					

Finance Transition Plan

	Action	What	By when	By Whom	Process Note Available	Update	Handover Completed Y/N
Finance							
1	PMS/GMS Contract payment Schedules	Monthly Payments List/Price changes	01/10/2019	S Reynolds	Y	CCG to process and update from November 19	Y
2	PMS/GMS Contract payment proforma templates	Monthly Payments	01/10/2019	A Overton	Y	CCG will input payments from November 19	Y
3	PCSE Contract Payment templates	Monthly Payments	01/10/2019	A Overton		CCG to process from November 19	Y
4	CQC reimbursements	Monthly Payments	Completed				Y
5	GP Retainer Scheme	Quarterly Payments	31/10/2019	A Overton		Awaiting notes/spreadsheet from NHSE	Y
6	Minor Surgery Des	Quarterly Payments	31/10/2019	A Overton			Y
7	Extended Hours Des Payments	Quarterly Payments	Completed	A Overton			Y
8	First Practice Management (FPM)	Annual Payments	01/10/2019	A Overton			Y
9	Manual MPIG payments	Monthly Payments	01/10/2019	A Overton			Y
10	PMS Premium Payments	Monthly Payments	01/10/2019	C Hobbs		Information received from NHSE	Y
11	GP Dispensing Fees Month End accruals	Month End Closedown	01/10/2019	S Reynolds			Y
12	Practice Premises Reimbursements	Monthly Payments	01/10/2019	S Reynolds	Y		Y
13	Direct Premises Payments	Invoice check and approve	01/10/2019	A Overton		NHSE to send over files.	Y
14	CQRS approval	Enhanced Services Approval	31/10/2019	A Overton		CCG finance need to be set up on CQRS	Y
		QOF Achievement	31/10/2019	A Overton			Y
15	Practice payment queries	Investigate and respond	Completed				Y
16	GP Pension payover	Monthly Reconciliation and Payment	Completed	A Overton			Y
17	GP Pension Compliance	Annual Declaration	Completed	A Overton			Y
18	GP Levy payover	Monthly Reconciliation and Payment	Completed	A Overton			Y
19	GP Locum Reimbursement Claims	Process and pay	01/10/2019	L Howes		CCG to process new claims. Current claims still to be processed by NHSE	Y
20	Collaborative Fee Claims	Process and pay	31/10/2019	A Overton			Y
21	Month End Closedown	Review variances to plan and prepare Accrual Journals and supporting working papers	Completed				Y
22	Forecast Outturn	Monthly review	Completed				Y
23	Month End Reporting	Reports for delegated budget	Completed				Y
24	Financial Planning	Operational Plans	05/09/2019	C Hobbs			Y
25	Budget Setting	Practice level budgets on JSFF	Completed				Y
26	Supplier changes	GP Practice	NHSE Retain	C Hobbs		New process requires NHS England to retain this function	N
27	FOI Requests	Collate response	Completed				Y
28	Section 96 Support	Due Dilligence	31/10/2019	C Hobbs		Meeting held with NHSE/ CCG Finance and Primary care team to go through S96	In Progress